

CUSTODIAL HOLDINGS AND SPONSORED HOLDINGS OPTIONS

Investing in listed securities through the custodial holdings option is different to the sponsored holdings option.

Custodial holdings option	Sponsored holdings option
The Administrator holds securities on your behalf	You hold securities directly
The listed securities are held in a similar way to how managed funds are held. The Administrator holds the legal title to your listed securities and you are the beneficial owner of these investments.	You hold securities directly.
The Administrator has voting rights	You retain all your rights as a direct holder of securities
The Administrator will not seek your instructions in relation to voting and may exercise voting rights without your instructions. Discount cards and other loyalty program benefits may be passed on to you.	Those rights may include voting rights, rights to participate in corporate actions, rights to receive notices of shareholder meetings and other communications and rights to participate in loyalty programs.
The Administrator may participate in corporate actions on your behalf	You may participate in corporate actions
The Administrator will generally act on your instructions.	You are the registered holder and the share registry will deal directly with you.
All dividends and distributions deposited into your Cash Account	Ability to receive returns as cash or additional securities
All dividends and distributions received will be deposited into your Cash Account.	You may receive a form from the relevant share registry asking you to nominate whether you would like to receive your investment returns as cash or additional securities or units.
You will not receive communications from share registries	You will receive communications from share registries
As the Administrator holds the securities on your behalf, you will not normally receive communications such as notices of shareholder meetings from share registries.	You will receive notices of shareholder meetings and other communications from share registries.

For more information on share trading on Wrap or to start trading today please contact your DKN Business Development Manager on 03 9667 0700.



Respond to your clients needs quickly and effectively with Wrap's new trading capabilities.

INTRODUCING SHARE TRADING ON WRAP

NEW FEATURES

Wrap gives advisers the ability to transact on all Australian Securities Exchange (ASX) listed, CHESS sponsored securities through Wrap, while SuperWrap offers access to a selection of ASX listed, CHESS sponsored securities (ASX300+). As an adviser you have the flexibility to use the online broker or an external broker for listed security transactions.

Trading shares on Wrap makes it faster and easier to respond to your clients' needs and gives you the trading capability to react to market movement quickly and effectively.

Wrap provides you with an equities option that saves time and delivers the information you need to effectively act upon the latest investment opportunities for your clients.

Benefits of Share Trading on Wrap	Consolidated reporting <ul style="list-style-type: none"> total business reporting total portfolio reporting at client level provides reporting data for most financial planning and accounting software
	End of Year tax statement <ul style="list-style-type: none"> consolidated tax statement for all your investment assets statements can be downloaded into accounting software
	Improved business efficiencies <ul style="list-style-type: none"> all investments on one platform one easy to use administration system ease of identification of individual holdings efficient collection of adviser remuneration on equities integrated margin lending facility available – can use other Wrap assets as security
	Option of custodial or sponsored holdings (outlined on back page)
	Participate in corporate actions and IPOs online <ul style="list-style-type: none"> paperless participation in corporate actions on behalf of your clients
	Option to use an external broker DKN has exclusive arrangements with broking houses providing access to: <ul style="list-style-type: none"> research stockbroking team model portfolios

Earlier this year Wrap introduced improved trading functionality and a wider range of tools and information, all designed to make it easier for you to manage your clients' Listed Securities and offer quality advice on the latest opportunities available.

Some of the latest enhancements include:

Bulk trading	The intuitive new bulk trading facility means: <ul style="list-style-type: none"> You can place instructions to buy or sell up to 15 listed securities in one bulk order You have access to your client's cash position, thanks to the cash balance display on the order screens
Same day trading	Our enhanced same day trading capability enables you to: <ul style="list-style-type: none"> Use sale proceeds to purchase more securities in the same day. Same day trading does not include the ability to purchase a stock and sell it the same day. When the buy order has settled and the units are updated on the DeskTop you will be able to then sell the units Provide a faster, more effective advisory service, with less time out of the market Increase efficiency and reduce risk - you can act on multiple trading instructions in the same day, without the market risk and potential for human error involved in executing trades over two or more days
More market and company information	Better information means healthier trading thanks to a more comprehensive market and company information section featuring: <ul style="list-style-type: none"> Market turnover, annual highs and lows Top 10 movers by volume and value Market commentary, all indices and market movements Company announcements and reports Stock quotes (volume, price charting, buy/sell) Easy to access from the 'Order' screens
Market depth	Make better trading decisions with comprehensive market depth information that can be easily accessed at order time. Information includes: <ul style="list-style-type: none"> Up to 10 levels of bid and offer prices on equities Up to 10 levels of bid and offer on interest rate securities Up to five levels of bid and offer information on warrants
Watchlists, email alerts and portfolio tracker	<ul style="list-style-type: none"> Create secure watch lists displaying price, price moves, volume and value on up to 35 securities You can set up email alerts triggered on company announcements or when price or volume hit your pre set targets Use Portfolio Tracker to track the performance of your model portfolios or client portfolios